

10/11/00

JCS803 U.S. PTO

UTILITY
PATENT APPLICATION
TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

198277US0 DIV

First Inventor or Application Identifier

Peter JENKNER

Title

FLUOROALKYL-FUNCTIONAL ORGANOSILOXANE-CONTAINING
COMPOSITIONS BASED ON ALCOHOL, A PROCESS FOR THEIR
PREPARATION AND THEIR USE

JCS803 U.S. PTO

09/885341

10/11/00

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g. PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. ☒ Specification

Total Pages **19**

3. ☐ Drawing(s) (35 U.S.C. 113) Total Sheets

4. ☒ Oath or Declaration

Total Pages **4**

- a. ☐ Newly executed (original or copy)

- b. ☒ Copy from a prior application (37 C.F.R. §1.63(d))
(for continuation/divisional with box 15 completed)

- i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named
in the prior application, see 37 C.F.R. §1.63(d)(2) and
1.33(b).

5. ☒ Incorporation By Reference (usable if box 4B is checked)
The entire disclosure of the prior application, from which a copy of the
oath or declaration is supplied under Box 4B, is considered to be part
of the disclosure of the accompanying application and is hereby
incorporated by reference therein.

ACCOMPANYING APPLICATION PARTS

6. ☒ The prior application is assigned of record to: Huels
Aktiengesellschaft, Recordation Date: 08/21/1998,
Reel/Frame: 9406/0755-0757.

7. ☐ 37 C.F.R. §3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)

8. ☐ English Translation Document (if applicable)

9. ☒ Information Disclosure ☐ Copies of IDS
Statement (IDS) Citations

10. ☒ Preliminary Amendment

11. ☒ White Advance Serial Number Postcard

12. ☐ Small Entity ☐ Statement filed in prior
Statement(s) application. Status still proper
and desired.

13. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)

14. ☒ Other: Request for Priority; Form PTO-1449

15. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below:

☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP)of prior application U.S. Serial
Number 08/984,162

Prior application information: Examiner: P. Shaver

Group Art Unit: 1621

16. Amend the specification by inserting before the first line the sentence:

☒ This application is a ☐ Continuation ☒ Divisional ☐ Continuation-in-part (CIP)A' of prior application U.S. Serial Number 08/984,162, filed on December 3, 1997.☐ This application claims priority of provisional application Serial Number _____, filed on _____.

17. CORRESPONDENCE ADDRESS

**22850**

(703) 413-3000

FACSIMILE: (703) 413-2220

Name:	Norman F. Oblon	Registration No.:	24,618
Signature:	<i>FD Vastine</i>	Date:	10/11/00
Name:	Frederick D. Vastine, Ph.D.	Registration No.:	27,013

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTORS: Peter JENKNER et al

SERIAL NO.: NEW APPLICATION

FILING DATE: HEREWITH

FOR: FLUOROALKYL-FUNCTIONAL ORGANOSILOXANE-CONTAINING COMPOSITIONS
BASED ON ALCOHOL, A PROCESS FOR THEIR PREPARATION AND THEIR USE

JC921 U.S. PTO

09/685341

10/11/00

FEE TRANSMITTALASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

FOR	NUMBER FILED	NUMBER EXTRA	RATE	CALCULATIONS
TOTAL CLAIMS	16 - 20 =	0	× \$18 =	\$0.00
INDEPENDENT CLAIMS	8 - 3 =	5	× \$80 =	\$400.00
<input type="checkbox"/> MULTIPLE DEPENDENT CLAIMS (If applicable)			+ \$270 =	\$0.00
<input type="checkbox"/> LATE FILING OF DECLARATION			+ \$130 =	\$0.00
BASIC FEE				\$710.00
TOTAL OF ABOVE CALCULATIONS				\$1,110.00
<input type="checkbox"/> REDUCTION BY 50% FOR FILING BY SMALL ENTITY				\$0.00
<input type="checkbox"/> FILING IN NON-ENGLISH LANGUAGE			+ \$130 =	\$0.00
<input type="checkbox"/> RECORDATION OF ASSIGNMENT			+ \$40 =	\$0.00
TOTAL				\$1,110.00

- ☐ Please charge Deposit Account No. 15-0030 in the amount of \$ _____. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$1,110.00 to cover the filing fee is enclosed.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to Deposit Account No. 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully Submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.

Norman F. Oblon

Registration No. 24,618

Frederick D. Vastine, Ph.D.

Registration No. 27,013

Date: 10/11/00**22850**Tel. (703) 413-3000
Fax. (703) 413-2220
(OSMMN 11/98)

DOCKET NO.: 198277US0 DIV

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

JC921 U.S. PTO
09/685341
10/11/00

IN RE APPLICATION OF: Peter JENKNER et al

SERIAL NO.: NEW APPLICATION

FILED: HERewith

FOR: FLUOROALKYL-FUNCTIONAL ORGANOSILOXANE-
CONTAINING COMPOSITIONS BASED ON ALCOHOL,
A PROCESS FOR THEIR PREPARATION AND THEIR USE

REQUEST FOR PRIORITY

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

- ☒ Full benefit of the filing date of U.S. Application Serial Number 08/984,162, filed December 3, 1997, is claimed pursuant to the provisions of 35 U.S.C. §120.
- ☐ Full benefit of the filing date of U.S. Provisional Application Serial Number _____, filed _____, is claimed pursuant to the provisions of 35 U.S.C. §119(e).
- ☒ Applicants claim any right to priority from any earlier filed applications to which they may be entitled pursuant to the provisions of 35 U.S.C. §119, as noted below.

In the matter of the above-identified application for patent, notice is hereby given that the Applicants claim as priority:

<u>COUNTRY</u>	<u>APPLICATION NUMBER</u>	<u>MONTH/DAY/YEAR</u>
GERMANY	196 49 954.2	December 3, 1996

The certified copy of the corresponding Convention Application:

- ☐ is submitted herewith.
- ☐ will be submitted prior to payment of the Final Fee.
- ☒ was filed in prior U.S. Application Serial Number 08/984,162, filed December 3, 1997.
- ☐ was submitted to the International Bureau in PCT Application Number _____.
- Receipt of the certified copy by the International Bureau in a timely manner under PCT Rule 17.1(a) has been acknowledged as evidenced by the attached PCT/IB/304.

☐ (A) Application Serial No.(s) _____ were filed in prior application Serial No. _____
_____ filed _____; and

(B) Application Serial No.(s):

- ☐ are submitted herewith.
☐ will be submitted prior to payment of the Final Fee.

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.



Norman F. Oblon
Attorney of Record
Registration No.: 24,618

Frederick D. Vastine, Ph.D.
Registration No.: 27,013



22850

TEL: 703-413-3000
FAX: 703-413-2220